State of play of Urban Innovative Actions

Urban Intergroup – European Parliament

Bruxelles, 10th January 2017
UIA in a nutshell

Main objectives:

Art. 8 ERDF: “...To identify and test new solutions which address issues related to sustainable urban development and are of relevance at Union level.”

• To provide urban authorities with resources to test how new and unproven solutions work in practice and how they respond to the complexity of real life
• To draw lessons and share knowledge with other urban authorities across Europe

Key figures:

• UIA budget: EUR 372 Mio ERDF
• Co-financing per project: max. EUR 5 Mio ERDF
• Co-financing rate: max. 80%
• Project duration: max. 3 years
UIA in a nutshell

Eligible urban authorities:

- Any urban authority of a local administrative unit defined according to the degree of urbanisation as city, town or suburb comprising at least 50,000 inhabitants

- Any association or grouping of urban authorities of local administrative units defined according to the degree of urbanisation as city, town or suburb where the total population is at least 50,000 inhabitants

Thematic coverage:

Allignment on the 12 topics of the Urban Agenda for the EU. Limited number of topics for each Call for Proposals

Selection criteria:

- Level of innovation (40%)
- Quality of the partnership (15%)
- Measurability (15%)
- Transferability (10%)
- Good quality (20%)
Setting up of UIA Initiative

✓ Preparation and signature Delegation Agreement between EC and Region Hauts de France (Entrusted Entity) –  **Jan.-Jul. 2015**

✓ Preparation of Technical Assistance framework and recruitment of Permanent Secretariat –  **Jan-Oct. 2015**

✓ Design, development and deployment website (and visual identity) –  **Jul.-Nov. 2015**

✓ Design and approval UIA Guidance –  **Oct.-Dec. 2015**

✓ Design, development and deployment EEP/PMS –  **Jul. 2015-Feb. 2016**
Launching 1st Call for Proposals

- Total budget: EUR 80 ml
- Topics: Energy transition; Jobs and Skills in the local economy; Inclusion of migrants and refugees; Urban poverty
- Start date: 15/12/2015 – Deadline: 31/03/2016

Main activities:
- More than 2000 emails processed
- 4 Applicants seminars organised (Bruxelles, Rome, Riga, Krakow) with more than 700 participants
- Participation to external events and webinars
- Recruitment and training of the Panel of External Experts (20 experts)
1st Call for Proposals – key figures

378 applications forms submitted from 24 Member States
1° Call for Proposals – key figures

Overall: 378 projects submitted

- Energy transition: 113 (30%)
- Jobs and skills in the local economy: 124 (33%)
- Integration of migrants and refugees: 50 (13%)
- Urban poverty: 91 (24%)

UIA 1st Call - What is the most popular topic per MS?

- Denmark: 25%
- Croatia: 25%
- Belgium: 29%
- Slovenia: 32%
- Romania: 33%
- Portugal: 35%
- Italy: 37%
- Netherlands: 38%
- Latvia: 40%
- Spain: 40%
- Greece: 44%
- France: 47%
- United Kingdom: 50%
- Finland: 50%
- Poland: 50%
- Lithuania: 50%
- Bulgaria: 50%
- Austria: 67%
- Ireland: 67%
- Hungary: 67%
- Estonia: 67%
- Germany: 70%
- Sweden: 73%
- Czech Republic: 75%
1st Call for Proposals – key figures

UIA 1st Call - Overview of applying urban authorities' size

- > 1,000,000: 25
- 500,000 - 1,000,000: 27
- 250,000 - 500,000: 52
- 100,000 - 250,000: 121
- 50,000 - 100,000: 148
- 25,000 - 50,000: 76
- 10,000 - 25,000: 82
- < 10,000: 73
1st Call for Proposals – key figures

UIA 1st Call - Typology of delivery partners in all submitted applications

- Higher education and research: 21%
- Private sector (Enterprise + SME): 19%
- Interest groups including NGOs: 15%
- Business support organisation: 8%
- Infrastructure and (public) service provider: 6%
- Education/ training centre and school: 6%
- Sectoral agency: 6%
- Local public authority: 4%
- Regional public authority: 4%
- National public authority: 4%
- General public: 2%
- Other: 11%
1st Call for Proposals – selection process

Eligibility check (Permanent Secretariat)

Strategic assessment (Panel of External Experts)

Operational assessment (Permanent Secretariat)

Approval (Selection Committee)
1st Call for Proposals – approved projects

- **Energy transition**
  - Paris (FR)
  - Viladecans (ES)
  - Goteborg (SE)
- **Inclusion of migrants and refugees**
  - Antwerp (BE)
  - Bologna (IT)
  - Munich (DE)
  - Utrecht (NL)
  - Wien (AT)
- **Jobs and skills in the local economy**
  - Bilbao (ES)
  - Madrid (ES)
  - Milan (IT)
  - Rotterdam (NL)
- **Urban poverty**
  - Barcelona (ES)
  - Birmingham (UK)
  - Lille (FR)
  - Nantes (FR)
  - Pozzuoli (IT)
  - Turin (IT)
1st Call for Proposals – main trends

Innovativeness of the proposed solution:

- Definition: products, services and processes never been tested before in Europe and able to add value in the specific policy field (Innovation at EU level versus innovation at local level)

- Complex set of actions – centrality of innovative elements

- Evolutionary innovation more than revolutionary innovation

- Specific innovative trends per topic
  - **Energy efficiency**: focus on local governance and energy delivery
  - **Migrants and refugees**: empowerment, one stop shop approach and testing « traditional » services on new target groups
  - **Jobs and skills**: anticipating economic transitions (3rd industrial revolution, industry 4.0), focus on skills enhancement and social enterprises
  - **Urban poverty**: testing revolutionary approaches (urban common goods and basic minimum income), area based integrated approach (one stop shop)

- Importance benchmark: different approaches combined and tested (literature, online databases, dedicated external expertise, peer review through transnational networks)
1st Call for Proposals – main trends

Quality of the partnership:

- Size of partnership and type of partners involved depending on the topic addressed
- General attempt to go beyond traditional partnership to involve unusual suspects
- Genuine co-design processes
- Different settings and substantial responsibilities of Delivery Partners in co-implementation

Measurability of results:

- Great attention paid by approved projects (more than EUR 2 ml dedicated to monitoring and evaluation)
- Monitoring and evaluation as learning loop for policy improvements
- Innovative methodologies proposed (e.g. RCT, theory of change, etc.)
- Strong involvement of scientific Delivery Partners in co-designing
1st Call for Proposals – main trends

Quality of the proposals:
• Clear logic framework (interconnection objectives, activities, outputs and expected results)
• Good and evident value for money
• Innovative management and coordination mechanisms
• Communication instrumental for effective implementation, outreach and awareness

Transferability:
• General awareness of transferability conditions and mechanisms
UIA knowledge

- Importance of capturing and sharing with policy makers and practitioners knowledge generated by UIA projects

- UIA Experts (one for each approved project) key actors to capture knowledge

- Regular outputs (diary, zoom in, journalistic account, final qualitative report) produced and available on the uia website

- Cooperation with other relevant organisations (UDN, Urban Partnerships, URBACT, EUROCITIES, etc.) to capitalize UIA knowledge
2nd Call for Proposals

- Available budget: EUR 50 ml
- Topics: Circular economy, Inclusion of migrants and refugees, Urban mobility
- Timeline: start date 15/12/2016 – deadline 14/04/2017
- Applicants seminars
  - Lille – 01/12/2016
  - Porto – 06/12/2016
  - Thessaloniki – 19/01/2017
  - Budapest (including UDN Feedback session) – 26/01/2017
- Webinars:
  - Innovation – 02/02/2017
  - Eligible authorities and partnership – 09/02/2017
  - Intervention logic and work plan – 16/02/2017
  - Eligible expenditures – 23/02/2017
- Participation to other information events:
  - UDN event in Zagreb - 25/10/2016
  - UDN mobility event – Bruxelles 25/11/2016
  - UDN/UIA event in Poland – Warsaw 09/02/2017
  - Webinar organised by Eurocities
  - Events organised by different MEPs
2nd Call for Proposals – main improvements

• Enlargement of the team at the Permanent Secretariat
• Revised version of the UIA Guidance
• Revised version of the Application Form (with new functionalities added in the EEP)
• Improved webpage dedicated to the Call for Proposals with applicants pack
• Webpages of approved projects from 1st Call now online
• Increased time for Q&A, group discussions and testimonies from selected cities during Applicants seminars
• Enhanced cooperation with UDN for information and capitalisation
• Topic coordinators to be recruited as part of the Panel of External Experts
Thank you for your attention!

For more information:
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